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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:) Docket No.: 089070-0311364
Joseph G. GATTO) Confirmation No.: 7026
Serial No.: 09/524,253) Examiner: Narayanswamy Subramanian
Filed: March 13, 2000) Group Art Unit: 3624

For: SECURITY ANALYST ESTIMATES PERFORMANCE VIEWING SYSTEM AND METHOD

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant hereby makes of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicant reserves the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This Supplemental Information Disclosure Statement is being filed more than three months after the U.S. filing date, and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Action or Notice of Allowance, whichever occurs first. 37 C.F.R. 1.97(c).

Document A was cited by the U.S. Patent Office in co-pending Application Serial No. 09/775,599, filed February 5, 2001, in an Office Action dated March 25, 2005. Document B was cited by the U.S. Patent Office in co-pending Application Serial No. 09/893,460, filed June 29, 2001, in an Office Action dated March 25, 2005. Document C was cited by the U.S. Patent Office in co-pending Application Serial No. 10/119,082, filed April 10, 2002, in a Notice of Allowability dated March 30, 2005. I hereby certify that no item of information in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Supplemental Information Disclosure Statement. 37 C.F.R. 1.97(e)(2).

It is respectfully requested that the Examiner initial and return a copy of the enclosed PTO-1449.

Respectfully submitted,

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